

PATENT Attorney Docket No.: COOL-00800

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 3744
Girish Upadhya et al.	Examiner: Ciric, Ljiljana V.
Serial No.: 10/698,180	TRANSMITTAL LETTER
Filed: October 30, 2003	162 N. Wolfe Road Sunnyvale, CA 94086
For: OPTIMAL SPREADER SYSTEM ) DEVICE AND METHOD FOR )	(408) 530-9700
FLUID COOLED MICRO-SCALED )	Customer No.: 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. 1.97(e)(1) for the Supplemental Information Disclosure Statement, Form PTO-1449, and two publications for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 2 - 10 - 09

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.0(a))
I hereby certify that this paper (along with any referred to 83 being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:



Attorney Docket No.: <u>COOL-00800</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: 3744 Art Unit: Girish Upadhya et al. Ciric, Ljiljana V. Examiner: **CERTIFICATION FOR** Serial No.: 10/698,180 SUPPLEMENTAL INFORMATION Filed: October 30, 2003 **DISCLOSURE STATEMENT UNDER 37** CFR § 1.97(e) For: OPTIMAL SPREADER SYSTEM, 162 N. Wolfe Road DEVICE AND METHOD FOR FLUID COOLED MICRO-SCALED ) Sunnyvale, CA 94086 (408) 530-9700 **HEAT EXCHANGE** 

Customer No.: 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

# Certification

I hereby certify that each item of information contained in this supplemental information disclosure statement was first cited in a communication from a foreign patent office on November 10, 2008, and January 20, 2009, in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(1).

Attorney Docket No.: <u>COOL-00800</u>

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 2-10-09

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))
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HAVERSTOCK & OWENS LLP.

Dr. 2/10/09 RV: SJ



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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Girish Upadhya et al.

Serial No.: 10/698,180

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For: OPTIMAL SPREADER SYSTEM, DEVICE AND METHOD FOR

DISCUSSION (408) 530-9700

Group Art Unit: 3744

Examiner: Ciric, Ljiljana V.

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

**HEAT EXCHANGE** ) Customer No. 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 5,285,347;
- Japan Patent Abstract No.: 64-024447; and

FLUID COOLED MICRO-SCALED )

Plattenwarmeubertrager, Die Bibliothek der Technik 105, published by "moderne industrie", 3<sup>rd</sup> edition, 2000, ISBN 3-478-93119-3, pages 34-36

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 2-10-09

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MALLING (37 CFR§ 1.8(a))
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Date: 2/10/09 BV: PJ